

Horizon Europe: Proposal Writing Camp Session 4

International Service Facility of the EC



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European
Commission

HORIZON EUROPE

SESSION 4: Application form

- Implementation Part
- Evaluation
- Q&A





RIA (Part B) - EXCELLENCE

1. Excellence

1.1 Objectives and ambition

1.2 Methodology

2. Impact

2.1 Project's pathways to impact

2.2 Measures to maximise impact
Dissemination
Exploitation and Communication

2.3 Summary

3. Quality and efficiency of the implementation

3.1 Work plan and Resources

3.2 Capacity of participants and consortium as a whole





3.1 Work plan and Resources

Content:

- brief presentation of the **overall structure of the work plan**;
- **timing of the different work packages and their components** (Gantt chart or similar);
- **graphical presentation** of the components showing how they inter-relate (Pert chart or similar).
- detailed work description, i.e.:
 - a list of work packages (tables 3.1a);
 - a description of each work package (table 3.1b);
 - a list of deliverables (table 3.1c);

3.1 Work plan – work packages, deliverables and milestones



- Brief presentation of the overall structure of the work plan
- Timing of the different work packages and their components (**Gantt Chart**)
- Graphical presentation of the components showing how they inter-relate (**Pert Chart**)
- Detailed work description
 - A **description** of each **work package (including tasks)**
 - A **list of work packages** A list of major **deliverables**

Table 3.1b: Work package description

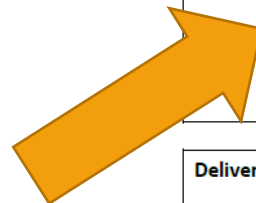
For each work package:

Work package number	Lead beneficiary						
Work package title							
Participant number							
Short name of participant							
Person months per participant:							
Start month				End month			

Objectives

Description of work (where appropriate, broken down into tasks), lead partner and role of participants

Deliverables (brief description and month of delivery)



Example, not to complete



B3.1 Work plan and Resources (2)

Content:

- a list of milestones (table 3.1d);
- a list of critical risks, relating to project implementation, that the stated project's objectives may not be achieved. Detail any risk mitigation measures. You will be able to update the list of critical risks and mitigation measures as the project progresses (table 3.1e);
- a table showing number of person months required (table 3.1f);
- a table showing description and justification of subcontracting costs for each participant (table 3.1g);
- a table showing justifications for 'purchase costs' (table 3.1h) for participants where those costs exceed 15% of the personnel costs (according to the budget table in proposal part A);
- if applicable, a table showing justifications for 'other costs categories' (table 3.1i).

Tables for section 3.1

Table 3.1a: List of work packages

Work package No	Work Package Title	Lead Participant No	Lead Participant Short Name	Person-Months	Start Month	End month
				Total person-months		

Table 3.1c: List of Deliverables^a

Only include deliverables that you consider essential for effective project monitoring.

Deliverable (number)	Deliverable name	Work package number	Short name of lead participant	Type	Dissemination level	Delivery date (in months)

KEY

Deliverable numbers in order of delivery dates. Please use the numbering convention <WP number>.<number of deliverable within that WP>. For example, deliverable 4.2 would be the second deliverable from work package 4.

Type:

Use one of the following codes:

- IT: Document, report (excluding the periodic and final reports)
- DEM: Demonstrator, pilot, prototype, plan designs
- DEC: Websites, patents filing, press & media actions, videos, etc.
- DATA: Data sets, microdata, etc.
- DMP: Data management plan
- ETHICS: Deliverables related to ethics issues
- SECURITY: Deliverables related to security issues
- OTHER: Software, technical diagram, algorithms, models, etc.

Dissemination level:

Use one of the following codes:

- PU – Public, fully open, e.g. web (Deliverables flagged as public will be automatically published in CORDIS project's page)
- SEN – Sensitive, limited under the conditions of the Grant Agreement
- Classified R-UE/EU-R – EU RESTRICTED under the Commission Decision No2015/444

Table 3.1b: Work package description

For each work package:

Work package number	Lead beneficiary					
Work package title						
Participant number						
Short name of participant						
Person months per participant:						
Start month				End month		

Objectives

Description of work (where appropriate, broken down into tasks), lead partner and role of participants

Deliverables (brief description and month of delivery)



3.2 Capacity of participants and consortium as a whole (1)

- **Describe the consortium.** Show how this includes expertise in social sciences and humanities, open science practices, and gender aspects of R&I, as appropriate. Include in the description affiliated entities and associated partners
- Show **how the partners will have access to critical infrastructure needed to carry out the project activities.**
- Describe **how the members complement one another** (and cover the value chain, where appropriate)
- **In what way does each of them contribute to the project?** Show that each has a valid role, and adequate resources in the project to fulfil that role.



3.2 Capacity of participants and consortium as a whole (2)

- **Other countries and international organisations:** If one or more of the participants requesting EU funding is based in a country or is an international organisation that is not automatically eligible for such funding (entities from Member States of the EU, from Associated Countries and from one of the countries in the exhaustive list included in the Work Programme General Annexes B are automatically eligible for EU funding), **explain why the participation of the entity in question is essential to successfully carry out the project.**

HORIZON EUROPE

- Evaluation





What evaluators of Horizon EUROPE proposals are looking for

The evaluators pay particular attention to:

- Expected impacts described for the topic of the project
- Key performance indicators (KPIs) including target values
- Enhancing innovation capacity and integration of new knowledge
- Strengthening competitiveness and growth of industrial partners by developing and delivering innovations meeting market needs
- Other environmental or social impacts...

They evaluate effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project...



Evaluation (award) criteria

Same criteria as in H2020

Same three award criteria: '**Excellence**', '**Impact**' and '**Quality and efficiency of the implementation**'. (Excellence only for ERC proposals)

Adapted following lessons learnt

- The number of '**aspects to be taken into account**' have been **reduced**, ensuring that the same aspect is not assessed twice
- **Open Science** practices assessed as part of the scientific methodology in the excellence criterion
- **New approach to impact**: Key Impacts Pathways (KIPs)
- The assessment of the **quality of applicants** is assessed under 'implementation', rather than as a separate binary assessment of operational capacity
- Assessment of **management structures** has been removed.



Standard evaluation criteria

There are three evaluation criteria for full proposals:

EXCELLENCE

IMPACT

IMPLEMENTATION

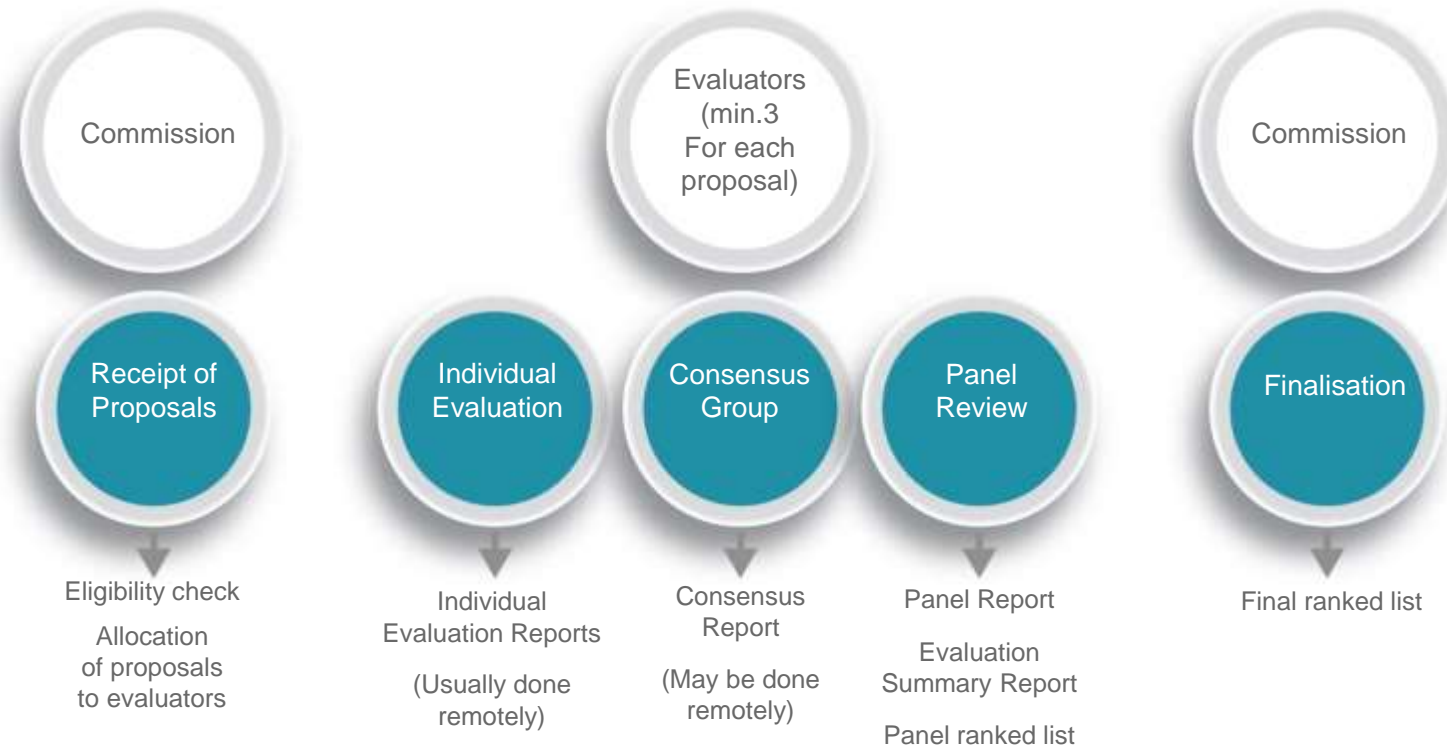
The eligibility criteria are also set out in the call conditions on the Topic page.



What else you need to know about the evaluation process

- The European Commission **organises** the evaluation and **moderates** the process
- **Independent observers** check the functioning and running of the overall process and advise, in their report, on the conduct and fairness of the evaluation sessions and, if necessary, suggest possible improvements
- An **ethics review** takes place for proposals above threshold and considered for funding. Only proposals that comply with the ethical principles and legislation may receive funding

Overview of the evaluation process





Evaluation scores

- 0: Proposal fails to address the criterion or cannot be assessed due to missing or incomplete information
- 1: **Poor** – criterion is inadequately addressed or there are serious inherent weaknesses
- 2: **Fair** – proposal broadly addresses the criterion, but there are significant weaknesses
- 3: **Good** – proposal addresses the criterion well, but a number of shortcomings are present
- 4: **Very good** – proposal addresses the criterion very well, but a small number of shortcomings are present
- 5: **Excellent** – proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor

Thank you!

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<http://ec.europa.eu/horizon-europe>